



## THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John Mason et al.  
Serial No. : 09/814,879  
Filed : March 22, 2001  
Title : METHOD AND APPARATUS FOR IMPLEMENTING ALERTS ON A  
BROWSER RUNNING ON A PORTABLE HANDHELD DEVICE

Commissioner for Patents  
Washington, D.C. 20231

RECEIVED

SEP 06 2001

PETITION UNDER 37 CFR 1.47(A)OFFICE OF PETITIONS  
DEPUTY A/C PATENTS

On behalf of the joint inventors, John Mason, Richard Watson, and Eugene Joseph, whose declarations are enclosed, we hereby petition for acceptance of this application without the signature of the joint inventor, Arman Nikzad, who has refused to sign the application.

The following facts support this petition:

1. Mr. Nikzad is a joint inventor of this patent application.
2. Maureen Christiano, secretary to the undersigned, has sent two sets of formal papers to Mr. Nikzad, the first set on February 23, 2001, the second on April 10, 2001.
3. Regarding the first set of formal papers, on March 16, 2001, Maureen Christiano left a telephone message on Mr. Nikzad's answering machine as to the status of these papers. Mr. Nikzad never replied to the phone message.
4. On March 22, 2001, Maureen Christiano spoke to Mr. Nikzad's wife, who indicated that the formal papers were not returned because a self-addressed stamped envelope was not included, but that if we sent another set and a self-addressed stamped envelope, she would have her husband sign and return the papers. Maureen Christiano sent another set of papers with a self-addressed stamped envelope on April 10, 2001.

## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

09/06/2001 GTEFFERA 00000016 09814879

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130.00 OP

Date of Deposit

Signature

Typed or Printed Name of Person Signing Certificate

Aug. 29, 2001

Maureen Christiano  
MAUREEN CHRISTIANO

5. As to the second set of papers, on May 10, 2001, Maureen Christiano spoke to Mr. Nikzad's wife who indicated that they had not returned this set of formal papers because they did not have access to a Notary Public. Maureen Christiano indicated that it was not necessary to have the papers notarized and that they should return the papers.

6. On May 22, 2001, Maureen Christiano left a telephone message on Mr. Nikzad's answering machine as to the status of these papers. Neither Mr. Nikzad or his wife replied to the phone message.

7. On June 18, 2001, Maureen Christiano spoke to Mrs. Nikzad who indicated that her husband was out of town indefinitely, but she would try to contact him and that Maureen Christiano should call her back in a week or two.


8. On July 5, 2001, Maureen Christiano spoke to Mrs. Nikzad who indicated that her husband had still not returned, and that Maureen Christiano should try and call her back in another two weeks.

9. Maureen Christiano has left telephone messages on Mr. Nikzad's answering machine on July 24, 2001, August 13, 2001, and August 23, 2001. Neither Mr. Nikzad or his wife have replied to the phone messages.

10. The last known address of Mr. Nikzad is 3144 Plum Court, Escondido, California 92027-6729.

This petition is accompanied by a check for \$130.00 for the petition fee under 37 CFR 1.17(i).

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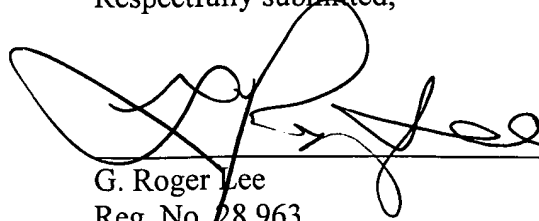
Attorney's cket No.: 04873-082001 / 418X

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: \_\_\_\_\_

8/28/01

  
\_\_\_\_\_  
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COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
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Arman Nikzad  
3144 Plum Court  
Escondido, California 92027-6729

**COPY MAILED**

In re Application of :  
Mason, Watson, Nikzad, and Joseph :  
Application No. 09/814,879 :  
Filed: March 22, 2001 :  
For: METHOD AND APPARATUS FOR :  
IMPLEMENTING ALERTS ON A BROWSER :  
RUNNING ON A PORTABLE HANDHELD DEVICE :

LETTER

**OCT 02 2001**

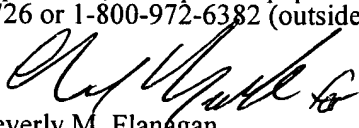
**OFFICE OF PETITIONS**

Dear Mr. Nikzad:

You are named as a joint inventor in the above-identified United States patent application filed under the provisions of 35 U.S.C. 116 (United States Code) and 37 CFR 1.47(a), Rules of Practice in Patent Cases. Should a patent be granted on the application you will be designated therein as a joint inventor.

As a joint inventor, you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost per 37 CFR 1.19) or make your position of record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. If you care to join the application, counsel of record (see below) would presumably assist you. Joining in the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR 1.63.

Telephone inquiries regarding this communication should be directed to Petitions Attorney Kenya A. McLaughlin at (703) 305-0010. Requests for information regarding your application should be directed to the File Information Unit at (703) 308-2733. Information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to Certification Division at (703) 308-9726 or 1-800-972-6382 (outside the Washington D.C. area).

  
Beverly M. Flanagan  
Supervisory Petitions Examiner  
Office of Petitions  
Office of the Deputy Commissioner  
for Patent Examination Policy

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